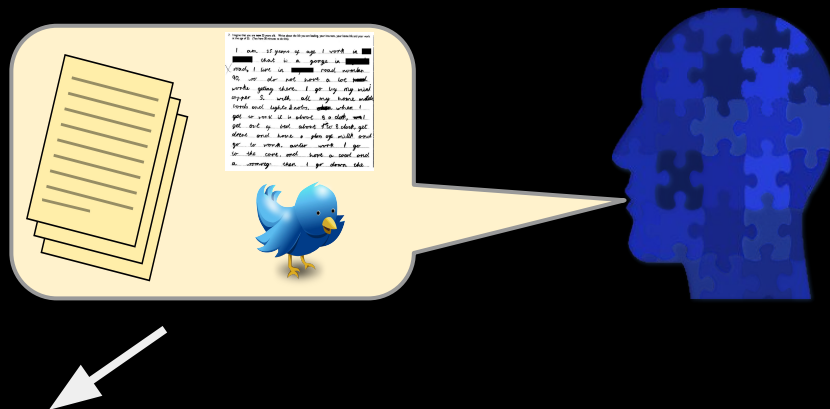


Human-Centered Natural Language Processing

CSE392 - Spring 2019
Special Topic in CS

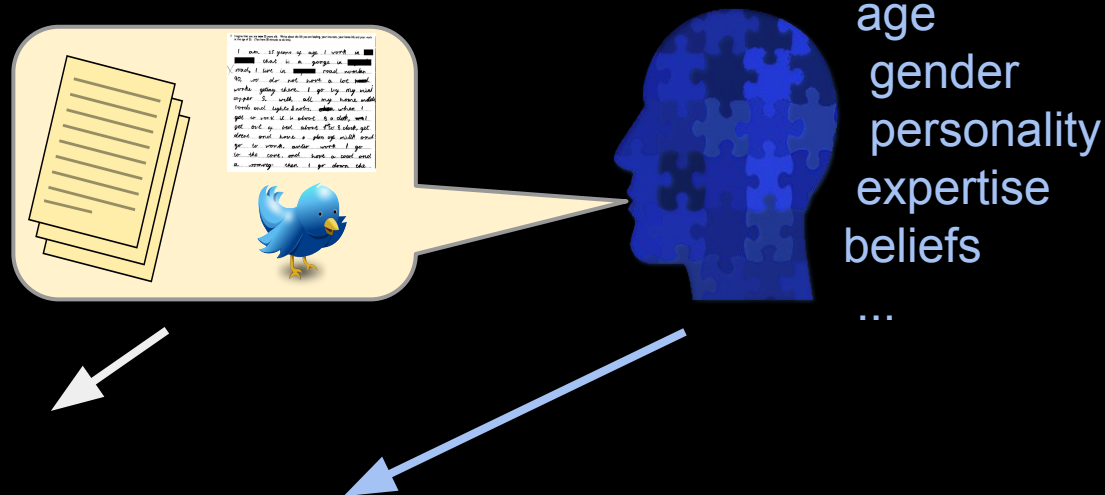
The “Task” of human-centered NLP



Most NLP Tasks. E.g.

- POS Tagging
 - Document Classification
 - Sentiment Analysis
 - Stance Detection
 - Mental Health Risk Assessment
 - ...
- (language modeling, QA, ...)

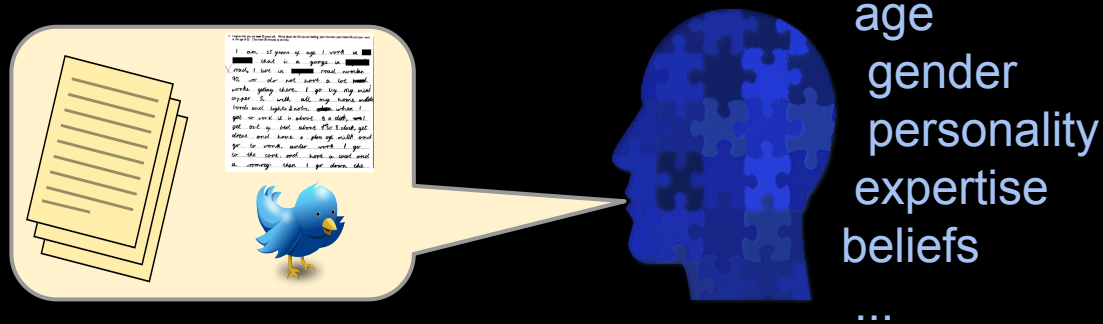
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The “Task” of human-centered NLP



Most NLP Tasks. E.g.

- POS Tagging
 - Document Classification
 - Sentiment Analysis
 - Stance Detection
 - Mental Health Risk Assessment
 - ...
- (language modeling, QA, ...)

How to include extra-linguistics?

- Additive Inclusion
- Adaptive Extralinguistics
 - Adapting Embeddings
 - Adapting Models
- Correcting for bias



Problem

Natural language is written by

Problem

Natural language is written by **people**.

Problem

Natural language is written by **people**.

That's sick



Problem

Natural language is written by **people**.



That's sick



Problem

Natural language is written by **people**.

People have different beliefs, backgrounds, styles, vocabularies, preferences, knowledge, personalities, ...

Practical Implication:

- Our NLP models are biased

Problem

Natural language is w

People have different beliefs, vocabularies, preferences, knowledge

Practical Implication:

- Our NLP models are biased

"The WSJ Effect"

Tagging Performance Correlates with Author Age

Dirk Hovy¹ and Anders Søgaard¹
Center for Language Technology
University of Copenhagen, Denmark

Problem

Natural language is written by **people**.

People have different beliefs, backgrounds, styles, vocabularies, preferences, knowledge, personalities, ...

Practical Implication:

- Our NLP models are biased
- Sometimes our predictions are invalid

Task: PTSD or Depression?
AUC = 0.80

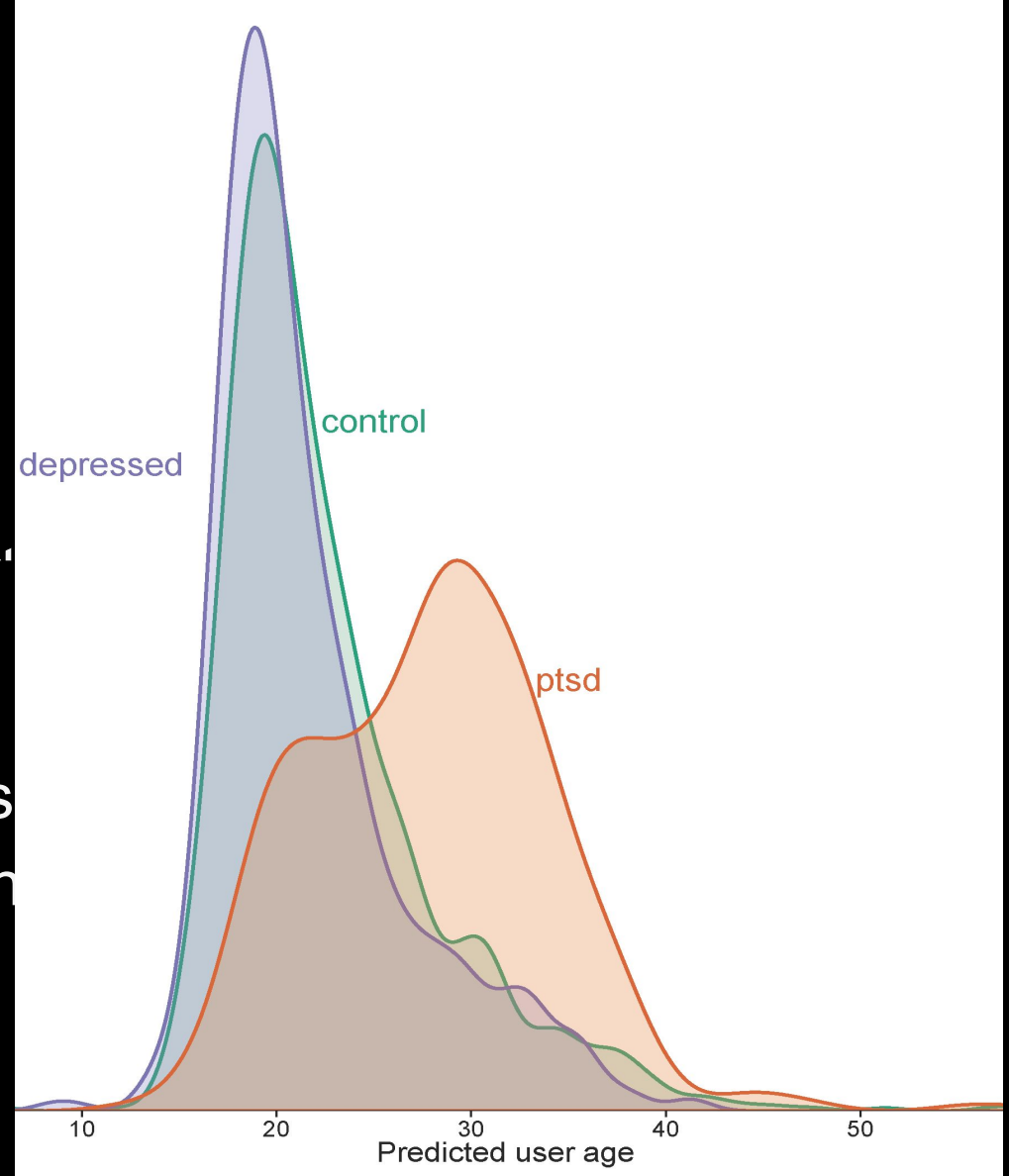
grounds, styles,
knowledge, personalities, ...

UI:

MLP models are biased

Sometimes our predictions are invalid

Task: PTSD or Depression?
AUC = 0.80



MLP models are biased
Sometimes our prediction

Problem

Natural language is written by **people**.

People have different beliefs, backgrounds, styles, vocabularies, preferences, knowledge, personalities, ...

Practical Implication:

- Our NLP models are biased
- Sometimes our predictions are invalid

Put language in the context of the person who wrote it

=> Greater Accuracy

Approaches to Human Factor Inclusion

1. Adaptive: Allow meaning of language to change depending on human context. (also called “compositional”)
(e.g. “sick” said from a young individual versus old individual)

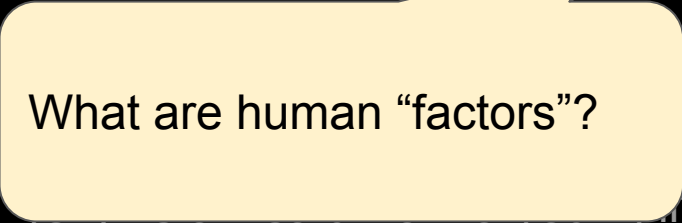
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1. **Adaptive:** Allow meaning of language to change depending on human context. (also called “compositional”)
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(e.g. age and distinguishing PTSD from Depression)
3. **Bias Correction:** Optimize so as not to pick up on unwanted relationships.
(e.g. image captioner label pictures of men in kitchen as women)

Approaches to Human Factor Inclusion

1.  What are human “factors”?
(e.g. age and distinguishing PTSD from Depression)
2. Additive: Include direct effect of human factor on outcome.
(e.g. age and distinguishing PTSD from Depression)
3. Bias Correction: Optimize so as not to pick up on unwanted relationships.
(e.g. image captioner label pictures of men in kitchen as women)

Human Factors

--- Any attribute, represented as a continuous or discrete variable, of the humans generating the natural language.

E.g.

- Gender
- Age
- Personality
- Ethnicity
- Socio-economic status

Adaptation Approach: Domain Adaptation

Features for: source

|

$$\Phi^s(\mathbf{x}) = \langle \mathbf{x}, \mathbf{x}, \mathbf{0} \rangle,$$

target

|

$$\Phi^t(\mathbf{x}) = \langle \mathbf{x}, \mathbf{0}, \mathbf{x} \rangle$$

Frustratingly Easy Domain Adaptation

Hal Daumé III

School of Computing

University of Utah

Salt Lake City, Utah 84112

me@hal3.name

Abstract

We describe an approach to domain adaptation that is appropriate exactly in the case

supervised case. The fully supervised case models the following scenario. We have access to a large, annotated corpus of data from

Adaptation Approach: Domain Adaptation

Features for: source

$$\Phi^s(\mathbf{x}) = \langle \mathbf{x}, \mathbf{x}, \mathbf{0} \rangle,$$

target

$$\Phi^t(\mathbf{x}) = \langle \mathbf{x}, \mathbf{0}, \mathbf{x} \rangle$$

```
newX = []
for all x in source_x:
    newX.append(x + x + [0]*len(x))
for all x in target_x:
    newX.append(x + [0]*len(x), x)
```

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for all x in target_x:
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```

```
newY = source_y + target_y
```

```
model = model.train(newX,newY)
```

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Adaptation Approach: Factor Adaptation

Human Centered NLP with User-Factor Adaptation

Veronica E. Lynn, Youngseo Son, Vivek Kulkarni
Niranjan Balasubramanian and H. Andrew Schwartz
Stony Brook University
Stony Brook, NY
{velynn, yson, vvkulkarni, niranjan, has}@cs.stonybrook.edu

Abstract

We pose the general task of *user-factor adaptation* — adapting supervised learning models to real-valued user factors inferred from a background of their lan-

and Costa Jr., 1989; Ruscio and Ruscio, 2000; Widiger and Samuel, 2005).

Here, we ask how one can adapt NLP models to real-valued human *factors* — continuous valued attributes that capture fine-grained differences be-

Residualized Factor Adaptation for Community Social Media Prediction Tasks

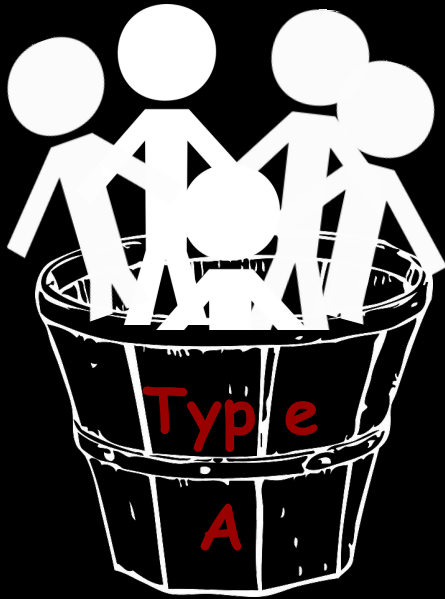
Mohammadzaman Zamani,¹ H. Andrew Schwartz,¹ Veronica E. Lynn,¹
Salvatore Giorgi,² and Niranjan Balasubramanian¹
¹Computer Science Department, Stony Brook University
²Department of Psychology, University of Pennsylvania
mzamani@cs.stonybrook.edu

Abstract

Predictive models over social media language promise in capturing community

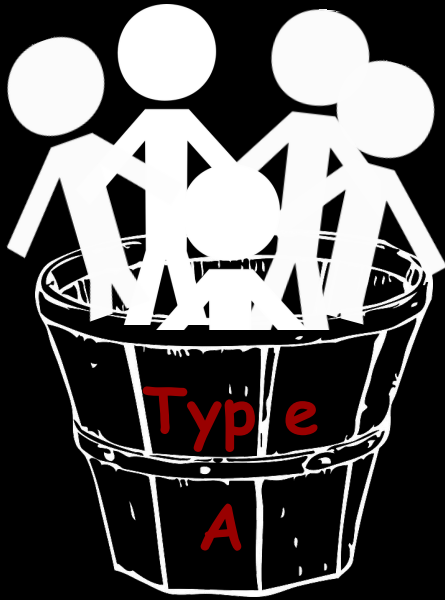
linked to socio-demographic factors (age, gender, race, education, income levels) with many social scientific studies supporting their predictive value (Golder et al., 2002) and build the fun-

Adaptation

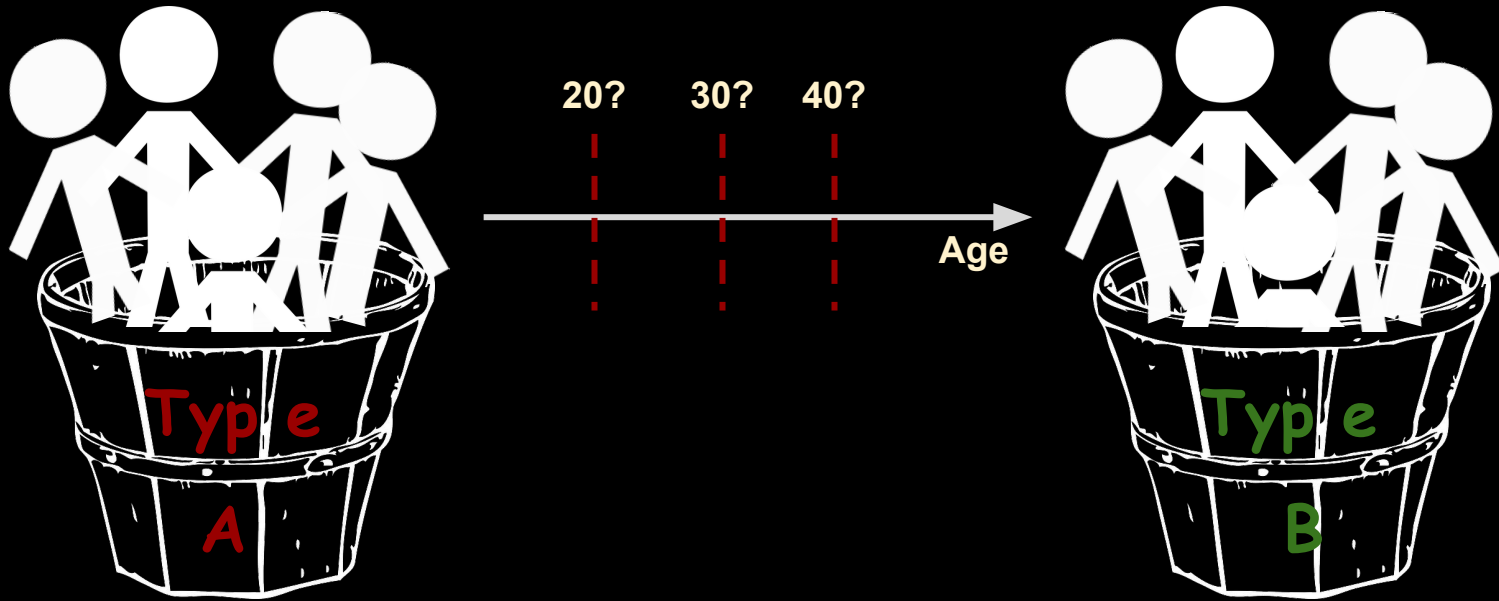


typically requires putting people into discrete bins

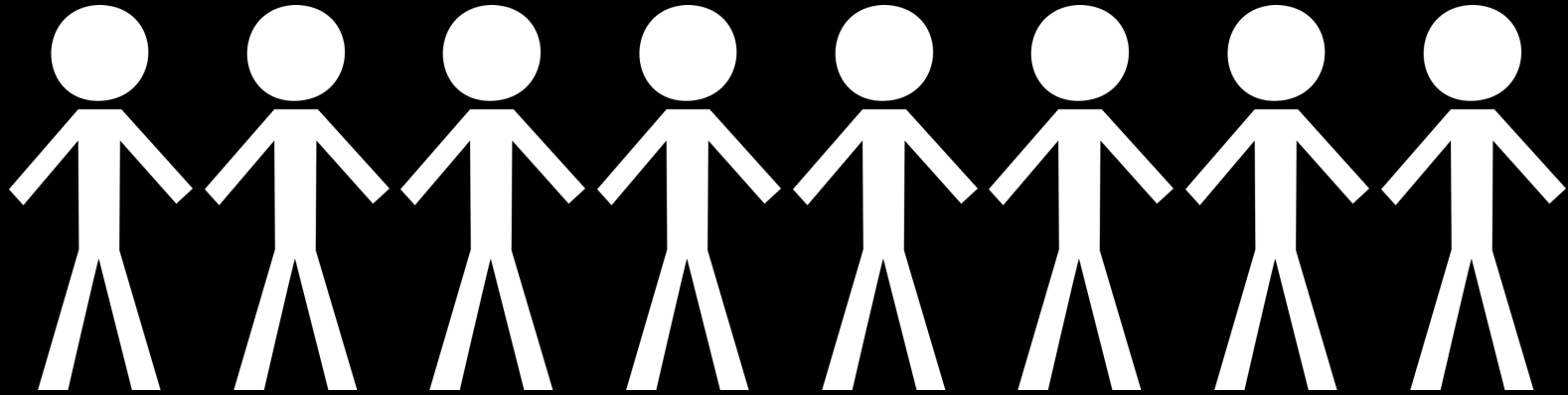
“most latent variables of interest to psychiatrists and personality and clinical psychologists are dimensional [continuous]”
(Haslam et al., 2012)



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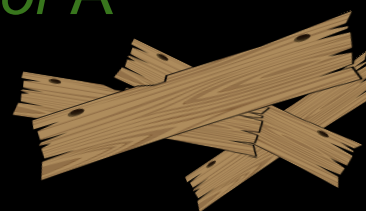
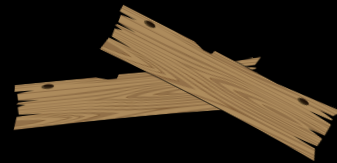


“most latent variables of interest to psychiatrists and personality and clinical psychologists are dimensional [continuous]”
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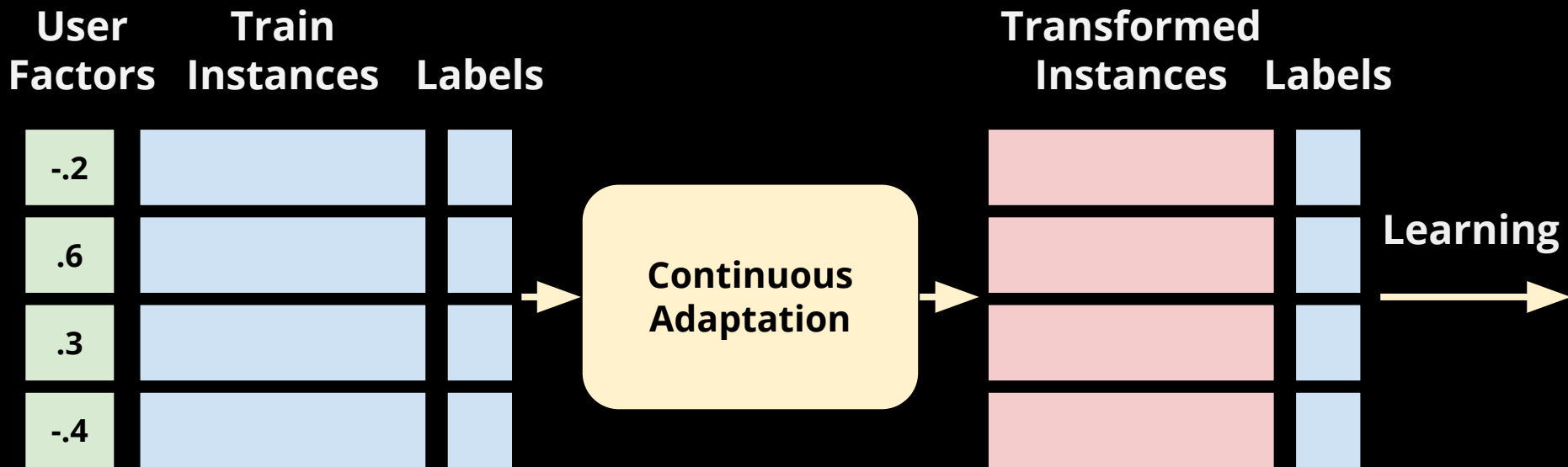


Less Factor A

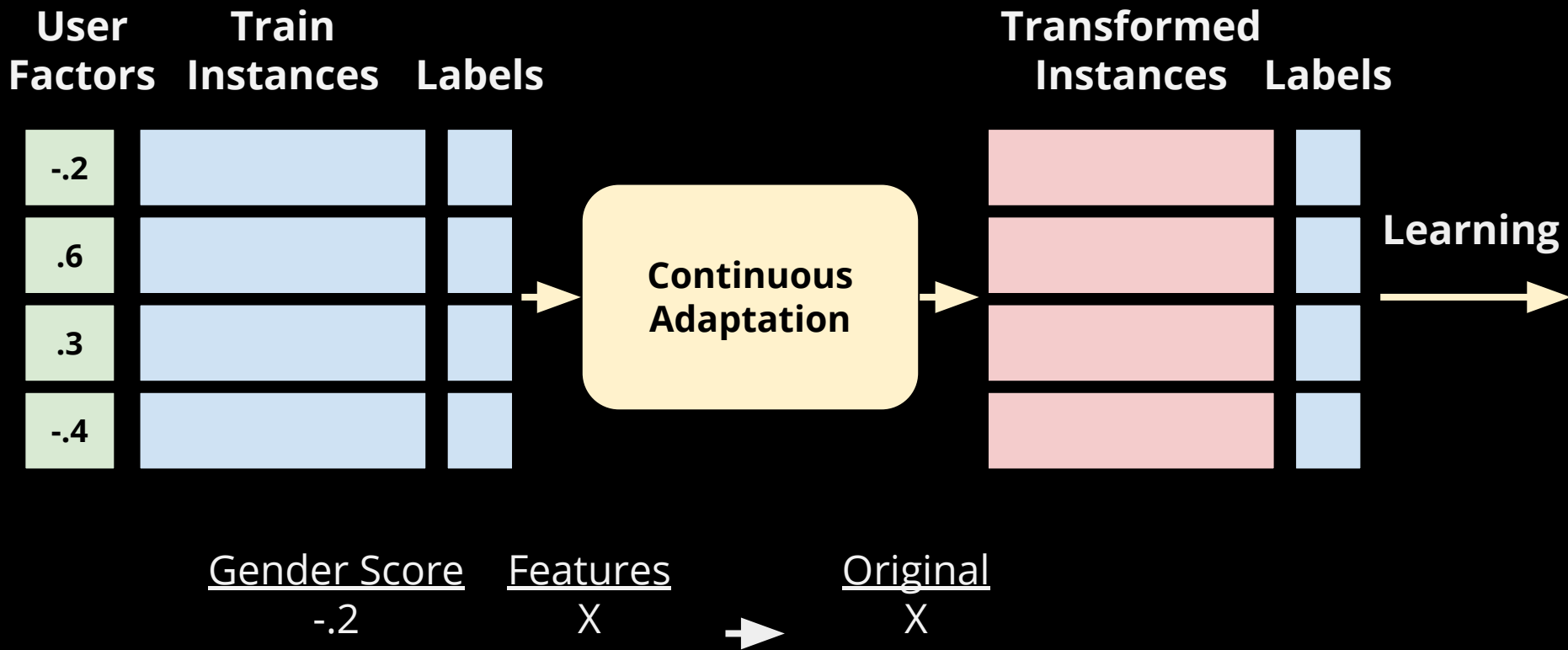
More Factor A



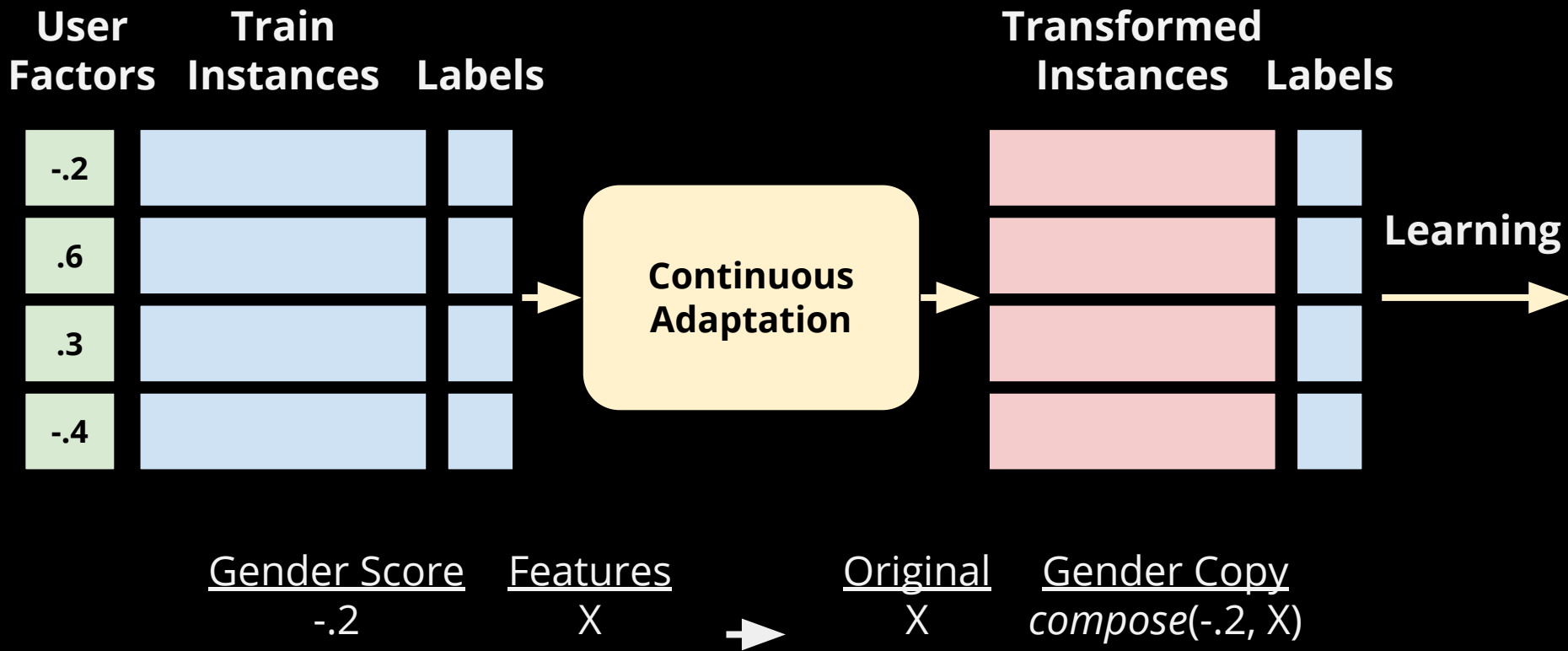
Our Method: Continuous Adaptation



Our Method: Continuous Adaptation



Our Method: Continuous Adaptation



(Lynn et al., 2017)

User Factor Adaptation: Handling multiple factors

Replicate features for each factor:

A compositional function c combines d user factor scores $f_{u,d}$ with original feature values \mathbf{x} :

$$\Phi(\mathbf{x}, u) = \langle \mathbf{x}, c(f_{u,1}, \mathbf{x}), c(f_{u,2}, \mathbf{x}), \dots, c(f_{u,d}, \mathbf{x}) \rangle$$

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User	Factor Classes	Augmented Instance $\Phi(\mathbf{x}, u)$
User 1	F_1	$\langle \mathbf{x}, \mathbf{x}, \mathbf{0}, \mathbf{0}, \dots, \mathbf{0} \rangle$
User 2	F_2	$\langle \mathbf{x}, \mathbf{0}, \mathbf{x}, \mathbf{0}, \dots, \mathbf{0} \rangle$
User 3	F_1, F_3	$\langle \mathbf{x}, \mathbf{x}, \mathbf{0}, \mathbf{x}, \dots, \mathbf{0} \rangle$
User 4	F_k	$\langle \mathbf{x}, \mathbf{0}, \mathbf{0}, \dots, \mathbf{0}, \mathbf{x} \rangle$

Table 1: Discrete Factor Adaptation: Augmentations of an original instance vector \mathbf{x} under different factor class mappings. With k domains the augmented feature vector is of length $n(k + 1)$.

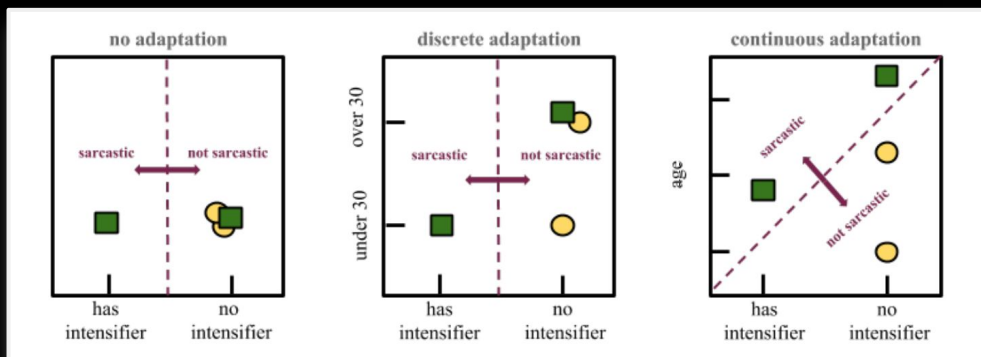
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User 2	F_2	$\langle \mathbf{x}, \mathbf{0}, \mathbf{x}, \mathbf{0}, \dots, \mathbf{0} \rangle$
User 3	F_1, F_3	$\langle \mathbf{x}, \mathbf{x}, \mathbf{0}, \mathbf{x}, \dots, \mathbf{0} \rangle$
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(Lynn et al., 2017)

Main Results

Adaptation improves over unadapted baselines (Lynn et al., 2017)

Task	Metric	No Adaptation	Gender	Personality	Latent (User Embed)
Stance	F1	64.9	65.1 (+0.2)	66.3 (+1.4)	67.9 (+3.0)
Sarcasm	F1	73.9	75.1 (+1.2)	75.6 (+1.7)	77.3 (+3.4)
Sentiment	Acc.	60.6	61.0 (+0.4)	61.2 (+0.6)	60.7 (+0.1)
PP-Attach	Acc.	71.0	70.7 (-0.3)	70.2 (-0.8)	70.8 (-0.2)
POS	Acc.	91.7	91.9 (+0.2)	91.2 (-0.5)	90.9 (-0.8)

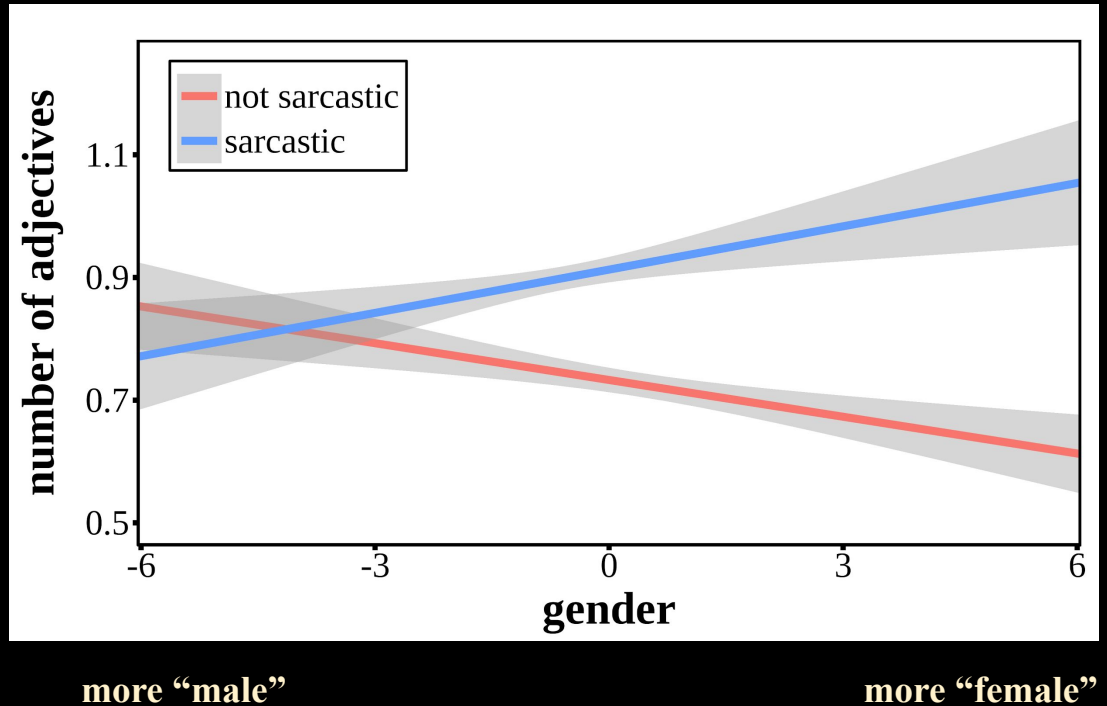
Example: How Adaptation Helps

Women

more adjectives → sarcasm

Men

more adjectives → no sarcasm



Problem

User factors are not always available.

Solution: User Factor Inference

past tweets

Niranjan @b_niranjan · Sep 2

There must be a word for trending #hashtags that you know you will regret if you click. Is there?

Niranjan @b_niranjan · Aug 31

Passwords spiral: Forget password for the acct you use twice a year. Ask for reset. Can't use previous. Create a new one to forget later.

Niranjan @b_niranjan · Jul 31

Thrilled to hear @acl2017's diversity efforts as the first thing in the conference.



1



→ **inferred factors**

Known

Age (Sap et al. 2014)

Gender (Sap et al. 2014)

Personality (Park et al. 2015)

Latent

User Embeddings

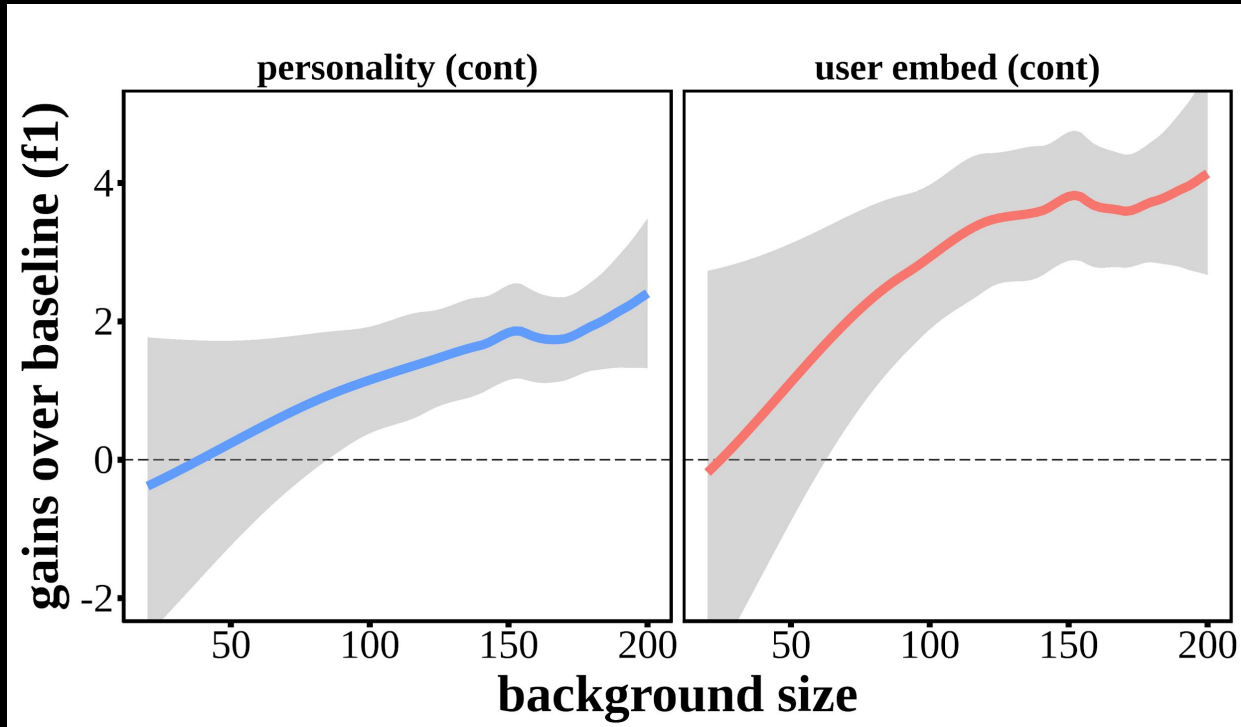
(Kulkarni et al. 2017)

Word2Vec

TF-IDF

Background Size

Using more background tweets to infer factors produces larger gains



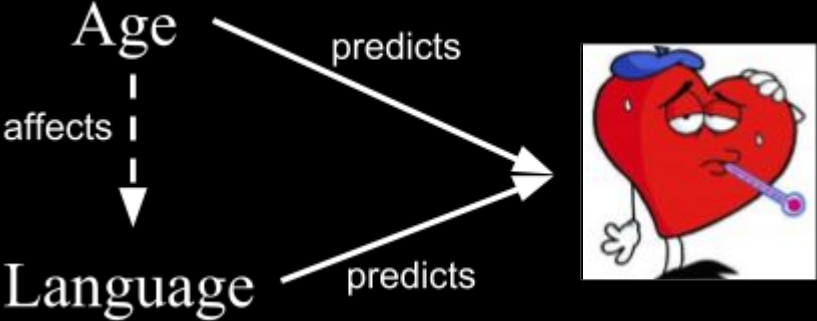
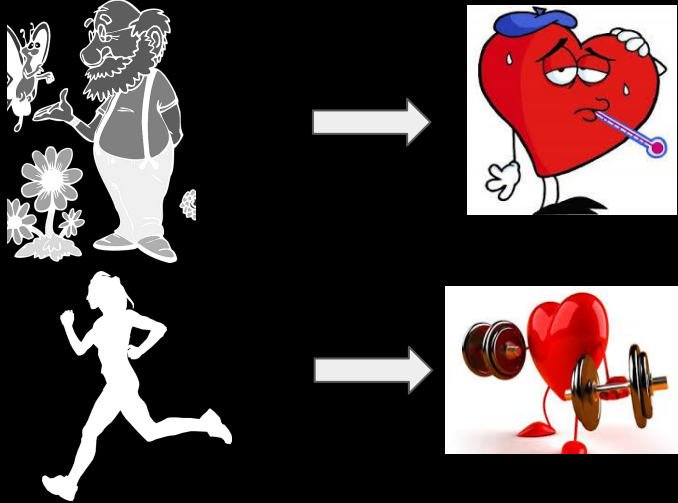
Approaches to Human Factor Inclusion

1. **Adaptive:** Allow meaning of language to change depending on human context. (also called “compositional”)
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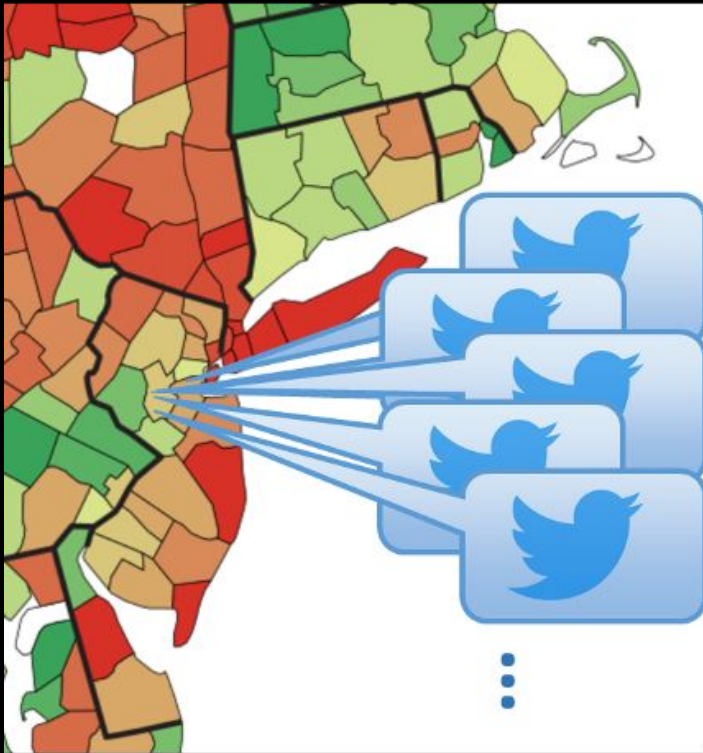
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Example 1: Individual Heart Disease



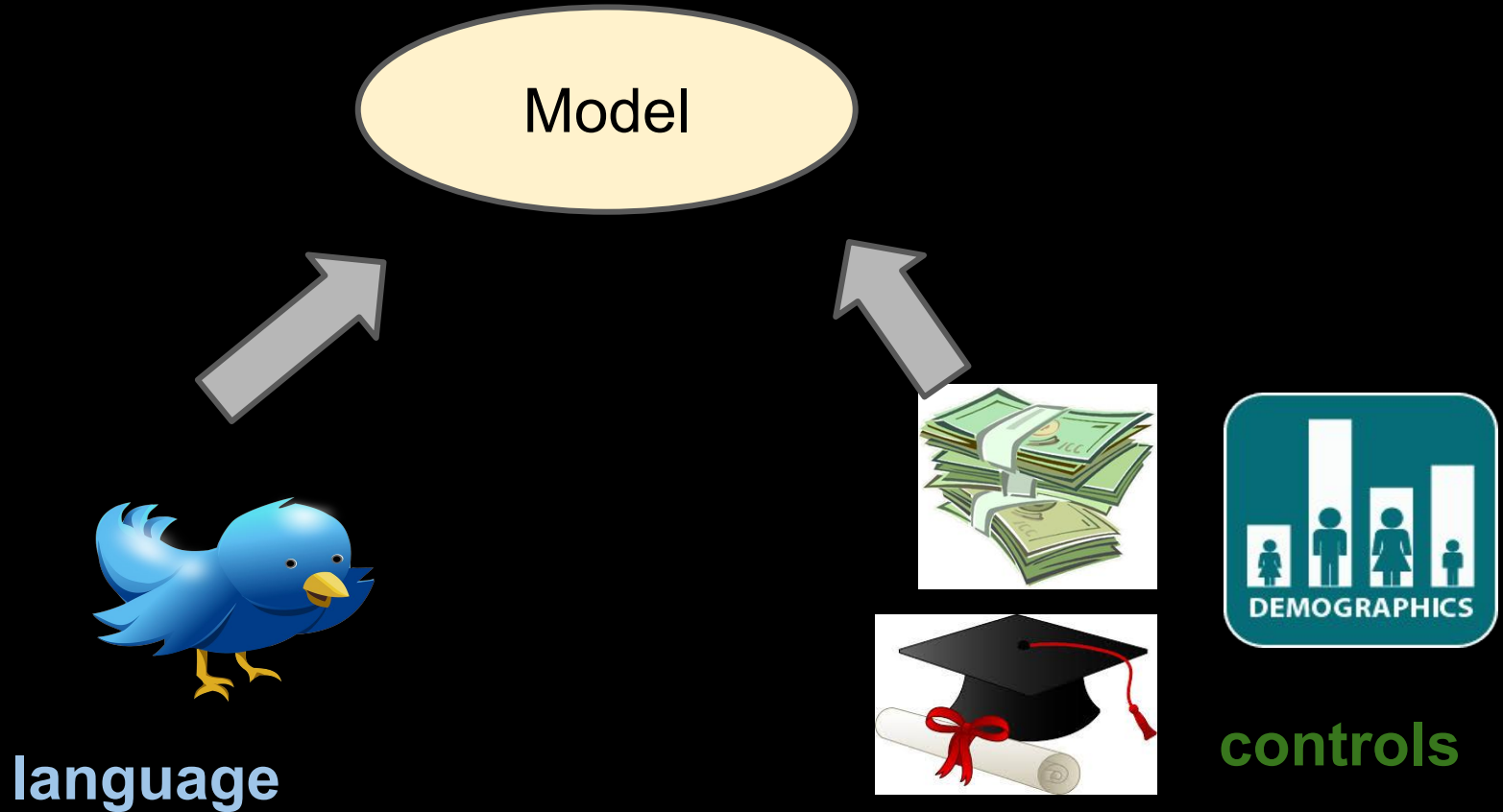
Example 2: Twitter Language + Socioeconomics



0.0852
0.8794
0.1415
0.1996
0.4561
0.3556
0.7532
0.2703
0.6872
0.2623
0.3795
0.6451
0.2032
0.4075
0.5010
0.4783
0.9845
0.6314



Additive (Residualized Control)



Additive (Residualized Control)

Challenges:

High-dimensional,
sparse, and noisy.



language

few and
well estimated



controls

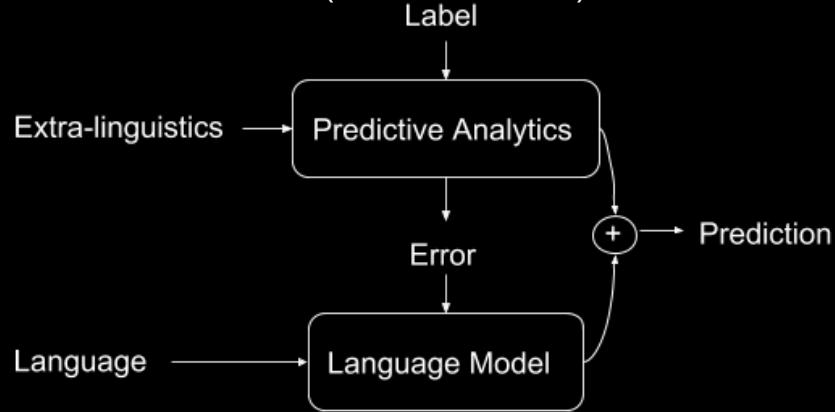
Additive (Residualized Control)

Effectively use both low dimensional control features and high-dimensional, noisy language features:

1. **Train a control model** using the control values
2. **Calculate the residual error** and consider it as the new label
3. **Train a language model over the new labels**

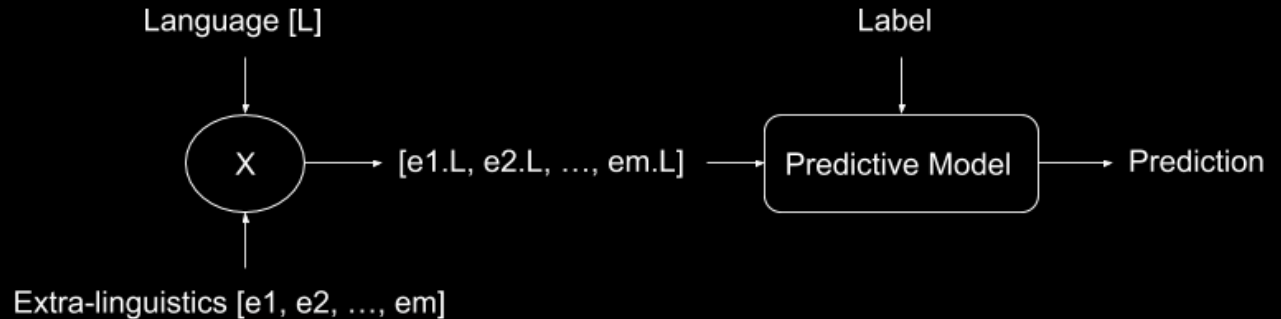
Additive (Residualized Control)

Residualize control (additive model):



(Zamani et al., EACL 2017)

Adaptive model:



Additive (Residualized Control)

Effectively use both low dimensional control features and high-dimensional, noisy language features:

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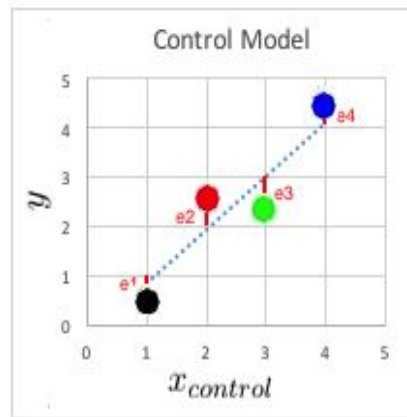
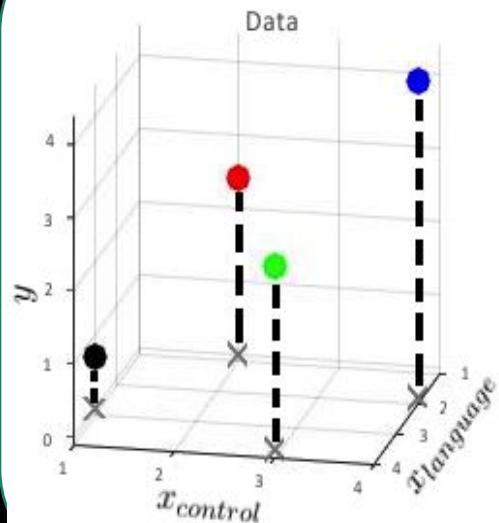
Model:

$$Y = \alpha x_1 + \beta x_2 + \gamma$$

Both learn the same linear model above, but

- Different learning algorithms per variable type.
- Different penalization methods

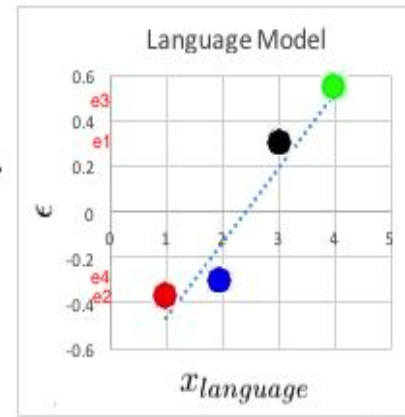
Residualized Control Model



$$y = \alpha \times x_{control} + \beta + \epsilon$$

$$\alpha = 1, \beta = 0$$

error
→



$$\epsilon \simeq \gamma \times x_{language} + \lambda$$

$$\gamma = 0.3, \lambda = -0.7$$

$$y \simeq \alpha \times x_{control} + \gamma \times x_{language} + \beta + \lambda$$

$$\Rightarrow \beta + \lambda = -0.7$$

	Foreclosure	Increased-price
language	0.38	0.48
combined	0.40	0.49

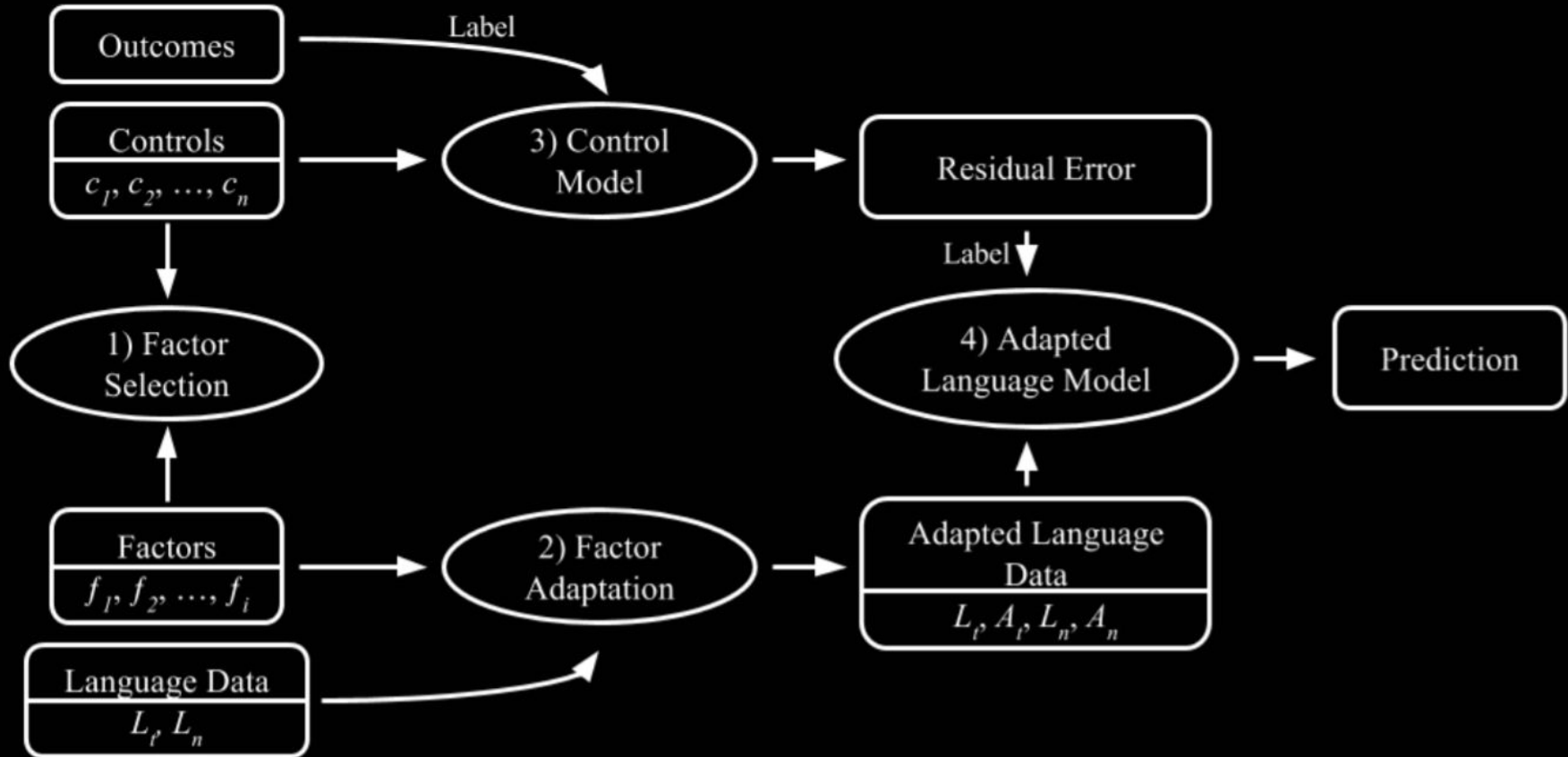
	Foreclosure	Increased-price
language	0.38	0.48
combined	0.40	0.49
residualized control	0.42	0.59

Combining Adaptive and Additive

Two Goals:

1. **Adaptive:** adapt to given human attributes
(*user factor adaptation*;
Lynn, Balasubramanian, Son, Kulkarni & Schwartz,
EMNLP 2017)
2. **Additive:** predict beyond given attributes
(*residualized control*; Zamani & Schwartz, *EACL 2017*)

Solution: Residualized Factor Adaptation



Results: County Health Predictions

	Lang.		
		<i>Controls Only</i>	<i>Added- Controls</i>
Heart Dis	0.585	0.514	0.608
Suicide	0.414	0.307	0.431
Poor Health	0.602	0.609	0.641
Life Satis.	0.209	0.329	0.335
Avg.	0.453	0.440	0.503

Results: County Health Predictions

	Lang.	All Factors		
		<i>Controls Only</i>	<i>Added- Controls</i>	<i>Res- Control</i>
Heart Dis	0.585	0.514	0.608	0.628
Suicide	0.414	0.307	0.431	0.460
Poor Health	0.602	0.609	0.641	0.661
Life Satis.	0.209	0.329	0.335	0.372
Avg.	0.453	0.440	0.503	0.530

Results: County Health Predictions

	Lang.	All Factors			
		<i>Controls Only</i>	<i>Added- Controls</i>	<i>Res- Control</i>	<i>FA</i>
Heart Dis	0.585	0.514	0.608	0.628	0.635
Suicide	0.414	0.307	0.431	0.460	0.494
Poor Health	0.602	0.609	0.641	0.661	0.674
Life Satis.	0.209	0.329	0.335	0.372	0.352
Avg.	0.453	0.440	0.503	0.530	0.539

Results: County Health Predictions

	Lang.	All Factors				
		<i>Controls Only</i>	<i>Added- Controls</i>	<i>Res- Control</i>	<i>FA</i>	<i>RFA</i>
Heart Dis	0.585	0.514	0.608	0.628	0.635	0.655
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Poor Health	0.602	0.609	0.641	0.661	0.674	0.682
Life Satis.	0.209	0.329	0.335	0.372	0.352	0.396
Avg.	0.453	0.440	0.503	0.530	0.539	0.560

Results: County Health Predictions

	Lang.	All Factors				
		<i>Controls Only</i>	<i>Added-Controls</i>	<i>Res-Control</i>	<i>FA</i>	<i>RFA</i>
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Avg.	0.453	0.440	0.503	0.530	0.539	0.560

variance explained (R^2)

Implications

- a. Data is inherently multi-level: person-document
- b. Often need control for “already-available” attributes
- c. Linguistic features *interact* with human attributes
- d. Language also has longitudinal context

Differential Language Analysis

Input:

Linguistic features

Human or community attribute

Output:

Features distinguishing attribute

Goal: Data-driven insights about an attribute

E.g. Words distinguishing communities with increases in real estate prices.



a a a
→
correlation strength

→
relative frequency

Differential Language Analysis

Input:

Linguistic features

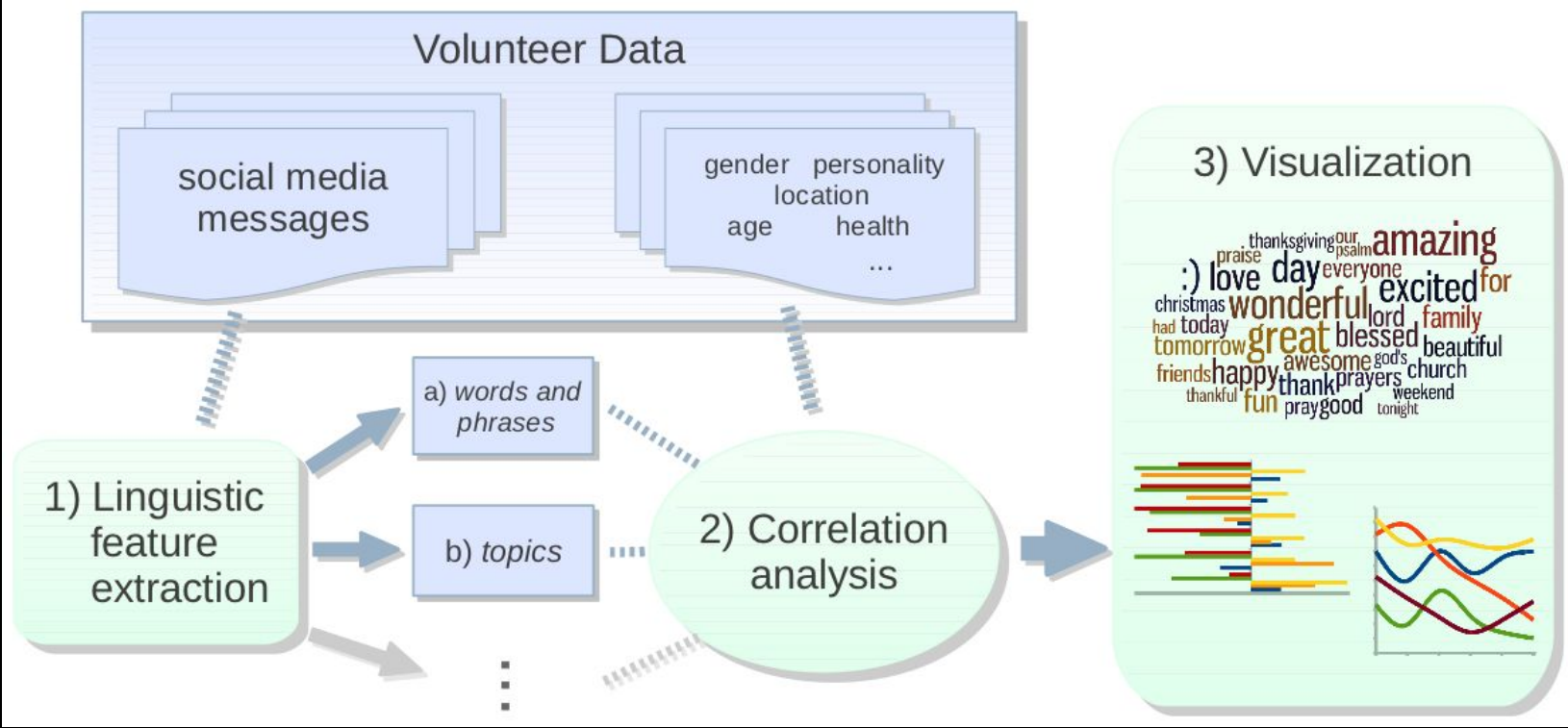
Human or community attribute

Output:

Features distinguishing attribute

Goal: Data-driven insights about an attribute

Differential Language Analysis



Differential Language Analysis

Methods of Correlation Analysis:

- Pearson Product-Moment Correlation
Limitation: Doesn't handle controls

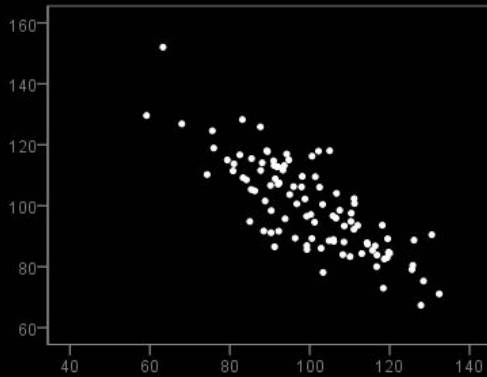
$$r_{xy} = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^n (y_i - \bar{y})^2}}$$

Differential Language Analysis

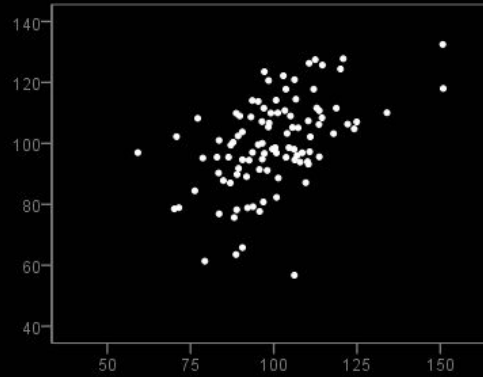
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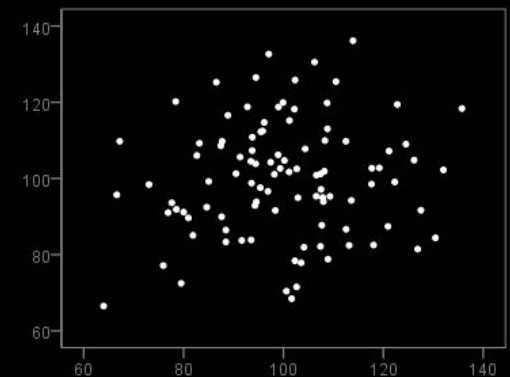
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r = -0.8



r = 0.5 © 2017 www.sj



r = 0.1

Differential Language Analysis

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Fit the model:

$$Y_i = \beta_0 + \beta_1 X_{i1} + \beta_2 X_{i2} + \dots + \beta_m X_{im} + \epsilon_i$$

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$$z = \frac{x - \mu}{\sigma}$$

μ = Mean

σ = Standard Deviation

Differential Language Analysis

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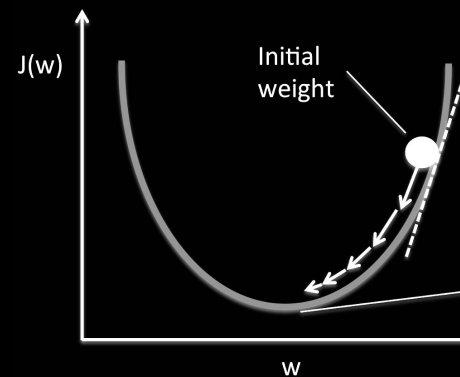
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Option 1: Gradient Descent:

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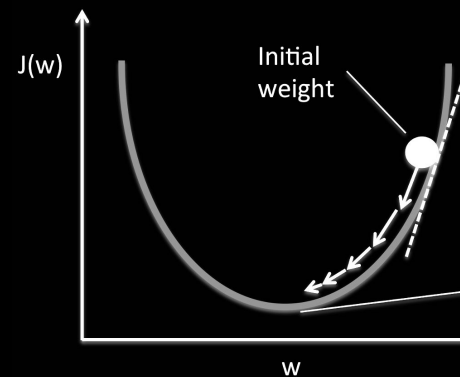
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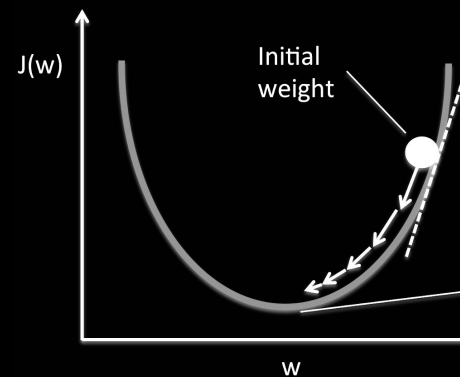
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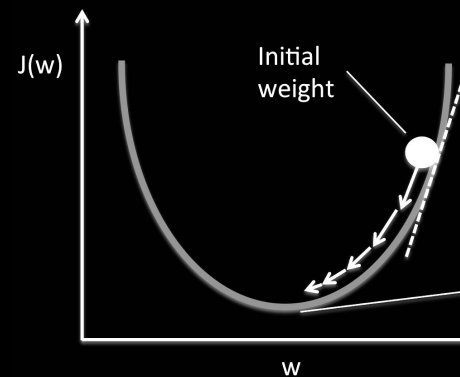
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Differential Language Analysis

Methods of “Correlation” Analysis for binary outcomes:

- Logistic Regression over Standardized variables

- Odds Ratio

$$\frac{\frac{\text{countA}(\textit{horrible})}{NA}}{1 - \frac{\text{countA}(\textit{horrible})}{NA}}$$

$$\frac{\frac{\text{countB}(\textit{horrible})}{NB}}{1 - \frac{\text{countB}(\textit{horrible})}{NB}}$$

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Differential Language Analysis

Methods of “Correlation” Analysis for binary outcomes:

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$$\hat{\delta}_w^{(i-j)} = \log \left(\frac{y_w^i + \alpha_w}{n^i + \alpha_0 - (y_w^i + \alpha_w)} \right) - \log \left(\frac{y_w^j + \alpha_w}{n^j + \alpha_0 - (y_w^j + \alpha_w)} \right)$$

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Bayesian term for “smoothing”: accounts for uncertainty as a function of less events (i.e. words observed less) by integrating “prior” beliefs mathematically.

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“Informative”: the prior is based on past evidence. Here, the total frequency of the word.

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(n^i is the size of corpus i , n^j is the size of corpus j , y_w^i is the count of word w in corpus i , y_w^j is the count of word w in corpus j , α_0 is the size of the background corpus, and α_w is the count of word w in the background corpus.)

$$\sigma^2 \left(\hat{\delta}_w^{(i-j)} \right) \approx \frac{1}{y_w^i + \alpha_w} + \frac{1}{y_w^j + \alpha_w}$$

- Final statistic for a word: z-score of its log-odds-ratio:

$$\frac{\hat{\delta}_w^{(i-j)}}{\sqrt{\sigma^2 \left(\hat{\delta}_w^{(i-j)} \right)}}$$

(Monroe et al., 2010; Jurafsky, 2017)

Ethics in NLP

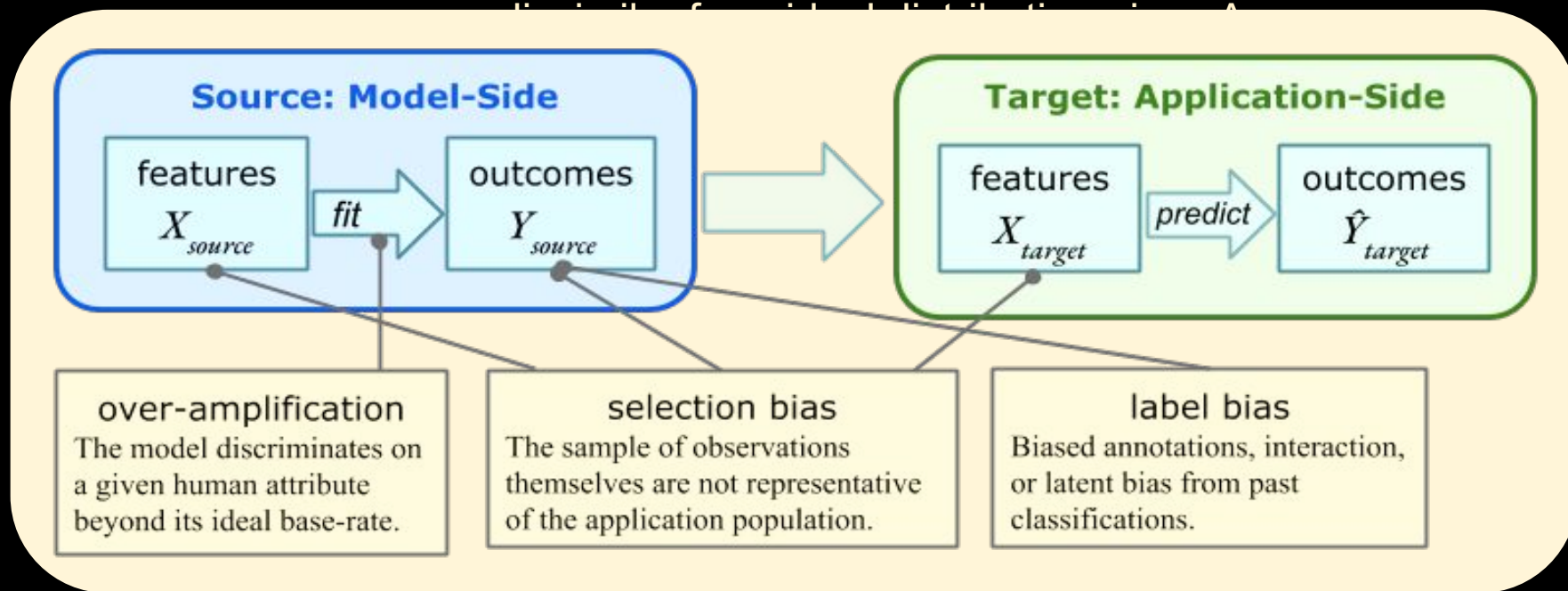
Types of bias in NLP tasks:

- Predictive Bias: Predicted distribution given A ,
are dissimilar from ideal distribution given A
 - Selection bias
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- Semantic Bias: Representations of meaning store demographic associations.

Ethics in NLP

Types of bias in NLP tasks:

E.g. Coreference resolution: connecting entities to references (i.e. pronouns).

“The doctor told Mary that she had run some blood tests.”

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Ethics in NLP

Privacy

- Risk Categories:
 - Revealing unintended private information
 - Targeted persuasion

Ethics in NLP

Privacy

- Risk Categories:
 - Revealing unintended private information
 - Targeted persuasion
- Mitigation strategies:
 - Informed consent -- let participants know
 - Do not share / secure storage
 - *Federated learning* -- separate and obfuscate to the point of preserving privacy
 - Transparency in information targeting
“You are being shown this ad because ...”

Ethics in NLP

Human Subjects Research

Observational versus Interventional

(The Belmont Report, 1979)

- (i) Distinction of research from practice.
- (ii) Risk-Benefit criteria
- (iii) Appropriate selection of human subjects for participation in research
- (iv) Informed consent in various research settings.